

Quarterly Budget and Expenditure Reporting under CARES Act Sections 18004(a)(1) Institutional Portion, 18004(a)(2), and 18004(a)(3), if applicable

Institution Name: Slippery Rock University of Pennsylvania Date of Report: 03/23/2021 Covering Quarter Ending: 03/30/2021
 Total Amount of Funds Awarded: Section (a)(1) Institutional Portion: \$ 3,677,034 Section (a)(2): \$ 0 Section (a)(3): \$ 0 Final Report?

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Providing additional emergency financial aid grants to students. ¹	\$ 0	\$ 0	\$ 0	
Providing reimbursements for tuition, housing, room and board, or other fee refunds.	\$ 0	\$ 0	\$ 0	
Providing tuition discounts.		\$ 0	\$ 0	
Covering the cost of providing additional technology hardware to students, such as laptops or tablets, or covering the added cost of technology fees.	\$ 0	\$ 0	\$ 0	
Providing or subsidizing the costs of high-speed internet to students or faculty to transition to an online environment.	\$ 0	\$ 0	\$ 0	
Subsidizing off-campus housing costs due to dormitory closures or decisions to limit housing to one student per room; subsidizing housing costs to reduce housing density; paying for hotels or other off-campus housing for students who need to be isolated; paying travel expenses for students who need to leave campus early due to coronavirus infections or campus interruptions.	\$ 0	\$ 0	\$ 0	
Subsidizing food service to reduce density in eating facilities, to provide pre-packaged meals, or to add hours to food service operations to accommodate social distancing.	\$ 0	\$ 0	\$ 0	
Costs related to operating additional class sections to enable social distancing, such as those for hiring more instructors and increasing campus hours of operations.	\$ 0	\$ 0	\$ 0	
Campus safety and operations. ²	\$ 0	\$ 0	\$ 0	

¹ To support any element of the cost of attendance (as defined under Section 472 of the Higher Education Act of 1965, as amended (HEA)) per Section 18004(c) of the CARES Act and the [Interim Final Rule](#) published in the *Federal Register* on June 17, 2020 (85 FR 36494). Community Colleges in California, all public institutions in Washington State, and all institutions in Massachusetts have different requirements due to recent U.S. District Court actions. Please discuss with legal counsel. [HEERF litigation updates can be found here.](#)

² Including costs or expenses related to the disinfecting and cleaning of dorms and other campus facilities, purchases of personal protective equipment (PPE), purchases of cleaning supplies, adding personnel to increase the frequency of cleaning, the reconfiguration of facilities to promote social distancing, etc.

Category	Amount in (a)(1) institutional dollars	Amount in (a)(2) dollars, if applicable	Amount in (a)(3) dollars, if applicable	Explanatory Notes
Purchasing, leasing, or renting additional instructional equipment and supplies (such as laboratory equipment or computers) to reduce the number of students sharing equipment or supplies during a single class period and to provide time for disinfection between uses.	\$ 0	\$ 0	\$ 0	
Replacing lost revenue due to reduced enrollment.		\$ 0	\$ 0	
Replacing lost revenue from non-tuition sources (i.e., cancelled ancillary events; disruption of food service, dorms, childcare or other facilities; cancellation of use of campus venues by other organizations, lost parking revenue, etc.). ³		\$ 0	\$ 0	See Other uses below
Purchasing faculty and staff training in online instruction; or paying additional funds to staff who are providing training in addition to their regular job responsibilities.	\$ 0	\$ 0	\$ 0	
Purchasing, leasing, or renting additional equipment or software to enable distance learning, or upgrading campus wi-fi access or extending open networks to parking lots or public spaces, etc.	\$ 0	\$ 0	\$ 0	
Other Uses of (a)(1) Institutional Portion funds. ⁴	\$ 481,315			Replacing Lost Revenue - additional documentation
Other Uses of (a)(2) or (a)(3) funds, if applicable. ⁵		\$ 0	\$ 0	
Quarterly Expenditures for each Program	\$ 481,315	\$ 0	\$ 0	
Total of Quarterly Expenditures	\$ 481,315			

³ Including continuance of pay (salary and benefits) to workers who would otherwise support the work or activities of ancillary enterprises (e.g., bookstore workers, foodservice workers, venue staff, etc.).

⁴ Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Section 18004(a)(1) Institutional Portion funds may only be used “to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus, so long as such costs do not include payment to contractors for the provision of pre-enrollment recruitment activities; endowments; or capital outlays associated with facilities related to athletics, sectarian instruction, or religious worship.”

⁵ Please post additional documentation as appropriate and briefly explain in the “Explanatory Notes” section. Please note that costs for Sections 18004(a)(2) and (a)(3) funds may only be used “to defray expenses, including lost revenue, reimbursement for expenses already incurred, technology costs associated with a transition to distance education, faculty and staff trainings, payroll incurred by institutions of higher education and for grants to students for any component of the student’s cost of attendance (as defined under section 472 of the HEA), including food, housing, course materials, technology, health care, and child care.”

Form Instructions

Completing the Form: On each form, fill out the institution of higher education (IHE or institution) name, the date of the report, the appropriate quarter the report covers (September 30, December 31, March 31, June 30), the total amount of funds awarded by the Department (including reserve funds if awarded), and check the box if the report is a “final report.” In the chart, an institution must specify the amount of expended CARES Act funds for each funding category: Sections 18004(a)(1) Institutional Portion, 18004(a)(2), and 18004(a)(3), if applicable. Section 18004(a)(2) funds includes CFDA 84.425J (Historically Black Colleges and Universities (HBCUs)), 84.425K (Tribally Controlled Colleges and Universities (TCCUs)), 84.425L (Minority Serving Institutions (MSIs)), 84.425M (Strengthening Institutions Program (SIP)); Section 18004(a)(3) funds are for CFDA 84.425N (Fund for the Improvement of Postsecondary Education (FIPSE) Formula Grant). Each category is deliberately broad and may not capture specific grant program requirements. Explanatory footnotes help clarify certain reporting categories. While some items in the chart are blocked out, please note that the blocking of such items is consistent with Department guidance and FAQs and is not definitive. Provide brief explanatory notes for how funds were expended, including the title and brief description of each project or activity in which funds were expended. Do not include personally identifiable information (PII). Calculate the amount of the Section 18004(a)(1) Institutional Portion (referred to as “(a)(1) institutional” in the chart), Section 18004(a)(2) (referred to as “(a)(2)” in the chart), and Section 18004(a)(3) (referred to as “(a)(3)” in the chart) funds in the “Quarterly Expenditures for each Program” row, and the grand total of all three in the “Total of Quarterly Expenditures” row. Round expenditures to the nearest dollar.

Posting the Form: This form must be conspicuously posted on the institution’s primary website on the same page the reports of the IHE’s activities as to the emergency financial aid grants to students made with funds from the IHE’s allocation under Section 18004(a)(1) of the CARES Act (Student Aid Portion) are posted. It may be posted in an HTML webpage format or as a link to a PDF. A new separate form must be posted covering each quarterly reporting period (September 30, December 31, March 31, June 30), concluding after either (1) posting the quarterly report ending September 30, 2022 or (2) when an institution has expended and liquidated all (a)(1) Institutional Portion, (a)(2), and (a)(3) funds and checks the “final report” box. IHEs must post this quarterly report form no later than 10 days after the end of each calendar quarter (October 10, January 10, April 10, July 10) apart from the first report, which is due October 30, 2020. For the first report using this form, institutions must provide their cumulative expenditures from the date of their first HEERF award through September 30, 2020. Each quarterly report must be separately maintained on an IHE’s website or in a PDF document linked directly from the IHE’s CARES Act reporting webpage. Reports must be maintained for at least three years after the submission of the final report per 2 CFR § 200.333. Any changes or updates after initial posting must be conspicuously noted after initial posting and the date of the change must be noted in the “Date of Report” line.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995 (PRA), no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0849. Public reporting burden for this collection of information is estimated to average 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Under the PRA, participants are required to respond to this collection to obtain or retain benefit. If you have any comments concerning the accuracy of the time estimate or suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application, or survey, please contact: Jack Cox, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202.

COVID 19 Social Distancing Housing Waivers. See next page for more details.

Reference Document Number	Item number of reference document	Val.type text	Amount type	Period	Funds Management - Update Date	Amount to be checked against payment bud	Commitment item	Funds Center	Fund	G/L Account	Text
103943727	140	Payments	0250	2	8/4/2020	496,789.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944128	132	Payments	0250	2	8/5/2020	1,975.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944128	133	Payments	0250	2	8/5/2020	-33,641.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944453	63	Payments	0250	2	8/6/2020	-24,691.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944453	64	Payments	0250	2	8/6/2020	1,461.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944875	73	Payments	0250	2	8/7/2020	-19,307.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103944875	74	Payments	0250	2	8/7/2020	3,213.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103945341	229	Payments	0250	2	8/10/2020	269,224.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103945341	230	Payments	0250	2	8/10/2020	-18,461.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103945861	102	Payments	0250	2	8/11/2020	5,283.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103945861	103	Payments	0250	2	8/11/2020	-18,614.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103948751	117	Payments	0250	2	8/12/2020	-23,715.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103948751	118	Payments	0250	2	8/12/2020	374.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103948939	110	Payments	0250	2	8/13/2020	-19,624.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103949293	103	Payments	0250	2	8/14/2020	-9,233.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103951017	127	Payments	0250	2	8/17/2020	-6,245.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103951549	196	Payments	0250	2	8/18/2020	376.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103951549	197	Payments	0250	2	8/18/2020	-17,295.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103951905	70	Payments	0250	2	8/19/2020	-55,035.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103954669	92	Payments	0250	2	8/21/2020	-629.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103961057	117	Payments	0250	2	8/31/2020	-1,323.70	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103962715	130	Payments	0250	3	9/1/2020	-497.70	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103973321	94	Payments	0250	3	9/3/2020	2,629.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103973321	95	Payments	0250	3	9/3/2020	-60.40	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103975001	80	Payments	0250	3	9/4/2020	60.40	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103976127	108	Payments	0250	3	9/7/2020	2,801.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103983663	70	Payments	0250	3	9/11/2020	-131.19	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103987414	71	Payments	0250	3	9/17/2020	-33.60	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103994040	93	Payments	0250	3	9/22/2020	33.60	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
103999042	77	Payments	0250	3	9/28/2020	-503.20	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104017001	49	Payments	0250	5	11/5/2020	1,761.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104019310	22	Payments	0250	5	11/12/2020	-745.69	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104019775	26	Payments	0250	5	11/13/2020	745.69	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104027286	56	Payments	0250	6	12/14/2020	2,629.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104027962	185	Payments	0250	6	12/15/2020	443,944.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104030172	73	Payments	0250	6	12/23/2020	-1,570.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104030314	50	Payments	0250	6	12/24/2020	175.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104031429	64	Payments	0250	7	1/5/2021	1,870.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104031429	65	Payments	0250	7	1/5/2021	-15,075.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104032038	107	Payments	0250	7	1/6/2021	-3,519.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104032438	78	Payments	0250	7	1/7/2021	-34,463.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104032724	81	Payments	0250	7	1/8/2021	-41,989.82	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104033000	85	Payments	0250	7	1/11/2021	-1,870.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104033000	86	Payments	0250	7	1/11/2021	350.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104033699	157	Payments	0250	7	1/12/2021	175.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104033699	158	Payments	0250	7	1/12/2021	-1,285.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104037355	108	Payments	0250	7	1/13/2021	935.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104037355	109	Payments	0250	7	1/13/2021	-5,573.51	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104038139	81	Payments	0250	7	1/15/2021	-935.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104038139	82	Payments	0250	7	1/15/2021	6,575.44	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104038284	91	Payments	0250	7	1/18/2021	-2,696.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104038919	149	Payments	0250	7	1/20/2021	-7,336.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104039674	82	Payments	0250	7	1/21/2021	-1,299.13	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver

104039674	83	Payments	0250	7	1/21/2021	2,922.55	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104041211	90	Payments	0250	7	1/25/2021	472.03	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104041876	117	Payments	0250	7	1/26/2021	44.45	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104041876	118	Payments	0250	7	1/26/2021	-3,239.93	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104046575	68	Payments	0250	7	1/28/2021	-2,801.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104053039	76	Payments	0250	8	2/1/2021	706.42	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104053986	88	Payments	0250	8	2/2/2021	1,911.04	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104055573	92	Payments	0250	8	2/3/2021	-2,629.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104059997	100	Payments	0250	8	2/6/2021	-2,629.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104066276	66	Payments	0250	8	2/9/2021	-295.69	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104066276	67	Payments	0250	8	2/9/2021	3,526.61	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104067263	72	Payments	0250	8	2/10/2021	-861.83	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104067263	73	Payments	0250	8	2/10/2021	270.69	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104077768	45	Payments	0250	8	2/16/2021	-1,010.99	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104079918	100	Payments	0250	8	2/17/2021	-1,276.24	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
104079918	101	Payments	0250	8	2/17/2021	175.00	698100	7011490400	7011001000	698100	W764 COVID 19 Soc Dist Hous Waiver
						871,267.30					

Housing Waivers – For Fall 2020 and Spring 2021 due to COVID and change in instruction we did not house as many students on campus. If the students wanted to stay on campus, we limited the housing to 1 student per room in order to ensure social distancing requirements. However, in order to do so, some students who requested say a traditional dorm room with a roommate may have had to of been upgraded to a single suite in order to follow the 1 student per room. We did not make the students pay the increased price but only made them pay for what their original request was. So the student would have had a charge on their student account of say \$1,000 for the single suite room minus a housing waiver of say \$500 so the student would only be invoiced and have to pay the \$500 they would have paid if they would have gotten the traditional room they requested with a roommate. Determination on who received and how much are those eligible students who had an increase in their housing fees and the amount is the amount of that increase. These waivers are considered lost revenue. We could not place in the lost revenue of Quarterly report as original quarterly report did not allow for such under the HEERF I funding. Period of allowable expenses for the HEERF grant programs has been updated back to the date of the Declaration of the National Emergency due to the COVID-19 pandemic, beginning March 13, 2020 and includes allowability for lost revenue under HEERF I. The above amount was split part HEERF I and part CRRSAA (HEERF II). HEERF II allows for usage of lost revenue with the funding stream. It was determined that unliquidated (unspent) grant funds awarded previously under HEERF I may be used consistent with provisions of CRRSAA for obligations incurred on or after March 13, 2020. Therefore fill amount is related to lost revenue under HEERF I and CRRSAA.

		\$871,267.30
HEERF I Drawdown amount Draw #4: \$481,315.14	→	<u>-481,315.14</u>
CRRSAA Drawdown amount Draw #1: \$389,952.16	←	389,952.16 CRRSAA Draw
Total 3/23/2021 Drawdown: \$871,270.30		

FINAL Quarterly CAREs Act Higher Education Emergency Relief Funds Report for quarter ending 3/31/2021 ADDRESSING HEERF1 FUNDING ONLY

Slippery Rock University of Pennsylvania has signed and returned to the Department of Education the [Certification and Agreement form](#) for the CARES Act Higher Education Emergency Relief Funds and intends to use 50% of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.

Slippery Rock University has received \$3,677,035.00 to be provided to students in the form of Emergency Financial Aid Grants to Students. (From the first round of HEERF funding.)

As of 3/31/2021, \$3,677,035 has been distributed to students under Section 18004(a)(1) of the CARES Act which exhausts the first round of funding.

An estimated 7,343 students are currently potentially eligible for these funds. As of 3/31/2021, 4,536 students have received funds under Section 18004(a)(1) of the CARES Act for the spring or summer semester of 2020. An additional 218 students have received funds for the fall semester of 2020. And finally, the remainder was expended on 66 students in the spring of 2021.

For the spring semester of 2020, Slippery Rock University used a combination of known financial need to determine the recipients who received automatic fund disbursements and an emergency aid application for students to self-identify a COVID-19-related emergency as it relates to the disruption due to the extended spring break and the move to online coursework. FAFSA data, specifically the EFC was used for the automatic payments and undergraduate students with an EFC of less than \$15,000 and graduate students with an EFC equal to zero received these first \$500 checks. Only students in an eligible, non-online program,

qualified per the CARES Act. The emergency aid application was open to any student regardless of EFC who identified a qualifying emergency.

For the fall semester of 2020, Slippery Rock University used an emergency aid network and application to distribute the small amount of remaining funds to our students. This emergency aid is awarded on a case by case basis as emergency need is made known to the financial aid office either by direct contact with the student or through the use of an electronic emergency aid application.

Reporting for the HEERF2 allocation will commence as required by the US Department of Education.